

Electronic Acknowledgement Receipt

EFS ID:	2948270
Application Number:	10655409
International Application Number:	
Confirmation Number:	6575
Title of Invention:	Computer-implemented securities trading system with a virtual specialist function
First Named Inventor/Applicant Name:	Timothy M. Keiser
Customer Number:	64558
Filer:	Antonio Papageorgiou
Filer Authorized By:	
Attorney Docket Number:	HSX/001C5
Receipt Date:	04-MAR-2008
Filing Date:	04-SEP-2003
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Application Type:	Utility under 35 USC 111(a)

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Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$ 1050
RAM confirmation Number	1398
Deposit Account	503938
Authorized User	

File Listing:

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)

1	Amendment - After Non-Final Rejection	98-HSX001-C5_080304_respones_to_oa_of_9-14-07.pdf 7b6db6c1c774da2b04f70d2df76540f42 730778	71589	no	17
Warnings:					

Information:

2	Fee Worksheet (PTO-06)	fee-info.pdf	8182 d0b96201c680a7th03842316a03316a547 #60aSee4	no	2
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Warnings:

Information:

Total Files Size (in bytes):

79771

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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.